

UNITED STATES OF AMERICA
Before the
SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

ADMINISTRATIVE PROCEEDINGS RULINGS
Release No. 1903/October 9, 2014

ADMINISTRATIVE PROCEEDING
File No. 3-16130

In the Matter of

SEAN C. COOPER

ORDER

The parties have requested in a joint motion that the hearing currently scheduled for October 20, 2014, be converted to a telephonic prehearing conference on October 20, 2014. The joint motion represents that the parties have conferred in compliance with the September 22, 2014, order in this proceeding.

The joint motion is GRANTED IN PART, the hearing is postponed, and the parties are ORDERED to hold an initial prehearing conference without the hearing officer by October 20, 2014, to discuss each numbered item in Rule 221(c), 17 C.F.R. § 221(c), including the date by which each item will be accomplished. By October 23, 2014, the parties shall file a joint prehearing conference statement, which addresses each numbered item in Rule 221(c), and includes proposed due dates where applicable, as well as the proposed location for the hearing.¹ Based on this prehearing conference statement, a subsequent prehearing conference with the hearing officer shall be scheduled.

The parties are also asked to email alj@sec.gov courtesy copies of any filings in this proceeding going forward. Finally, I find that Respondent was served with the Order Instituting Proceedings on October 1, 2014, in compliance with 17 C.F.R. § 201.141(a)(2)(i), based on the representations made in the joint motion.

Jason S. Patil
Administrative Law Judge

¹ The parties may denote that an item is “not applicable” in their filing.